

 <p style="text-align: center;">United States Environmental Protection Agency Washington, DC 20460</p> <p style="text-align: center;"><b>Interagency Agreement/ Amendment</b></p> <p style="text-align: center;"><b>Part 1 - General Information</b></p>		1. EPA IA Identification Number DW-75-95571201 - 4		2. Funding Location by Region EPA R9					
		3. Other Agency IA ID Number (if known) PH-06-D33		4. Awarding Office IASSC West					
		5. Type of Action No Cost Amendment		6. IA Specialist: John Schaub 206-553-6129 Schaub.John@epa.gov					
7. Name and Address of EPA Organization US Environmental Protection Agency IASSC West 1200 Sixth Avenue, Suite 900, OMP-145 Seattle, WA 98101			8. Name and Address of Other Agency Indian Health Service Phoenix Area / Two Renaissance Square 40 North Central, Suite 600 Phoenix, AZ 85004						
9. DUNS: 029128894		10. BETC: DISB		11. DUNS: 364268581					
12. BETC: COLL									
<b>13. Project Title and Description</b> Feasibility Studies for Arsenic Rule Compliance - Hopi Indian Reservation.									
This agreement provides EPA funding for IHS to perform activities and major services for the following on the Hopi Indian Reservation in Arizona: conduct seven feasibility studies to evaluate compliance with the Arsenic Rule for water systems serving the First Mesa Consolidated Villages (Polacca), the Hopi Cultural Center, Second Mesa Day School, Lower Sipaulovi/Mishongnovi Villages, Upper Sipaulovi/Mishongnovi Villages, Shungopavi Village, and the Hopi High School.									
This amendment extends the Project and Budget Periods to 12/31/2014, updates terms & conditions, and changes the IA Specialist to John Schaub. EPA funding amount remains the same.									
<b>14. EPA Project Officer (Name, Address, Telephone Number)</b> Bessie Lee 75 Hawthorne Street, WTR-6 San Francisco, CA 94105 415-972-3776 E-Mail: lee.bessie@epa.gov FAX:			<b>15. Other Agency Project Officer (Name, Address, Telephone)</b> Tom Heintzman Phoenix Area / Two Renaissance Square 40 North Central, Suite 600 Phoenix, AZ 85004 602-364-5056 E-Mail: Tom.Heintzman@ihs.gov FAX:						
16. Project Period: 10/01/2006 to 12/31/2014			17. Budget Period: 10/01/2006 to 12/31/2014						
<b>18. Scope of Work (See Attachment)</b> The Scope of Work (SOW) remains the same.									
19. Employer/Tax ID No. 520852695		20. CAGE No: 347A4		21. ALC: 68-01-0727					
<b>22. Statutory Authority for Transfer of Funds and Interagency Agreement</b> Safe Drinking Water Act: Sec. 1450(b)					<b>23. Other Agency Type</b> Federal Agency				
<b>24. Revise Reimbursable Funds and Direct Fund Cites (only complete if applicable)</b>									
	Previous Funding	This Action	Amended Total						
Revise Reimbursable (in-house)			0						
Direct Fund Cite (contractor)			0						
Total			0						
Funds	Previous Amount	Amount This Action	Total Amount						
25. EPA Amount	\$205,000	\$0	\$205,000						
26. EPA In-Kind Amount			\$0						
27. Other Agency Amount			\$0						
28. Other Agency In-Kind Amount			\$0						
29. Total Project Cost	\$205,000		\$205,000						
<b>30. Fiscal Information</b>									
Treas. Symbol	DCN	FY	Appropriation	Budget Org	PRC	Object Class	Site/Project	Cost Org	Ob/De-Ob Amt

Part II - Approved Budget				EPA IAG Identification Number DW-75-95571201 - 4
31. Budget Categories	Itemization of All Previous Actions	Itemization of This Action	In-Kind Itemization of This Action	Itemization of Total Project Cost to Date
(a) Personnel	\$35,500			\$35,500
(b) Fringe Benefits	\$0			\$0
(c) Travel	\$0			\$0
(d) Equipment	\$0			\$0
(e) Supplies	\$0			\$0
(f) Procurement / Assistance	\$169,500			\$169,500
(g) Construction	\$0			\$0
(h) Other	\$0			\$0
(i) Total Direct Charges	\$205,000	\$0	\$0	\$205,000
(j) Indirect Costs:	\$0	\$0	\$0	\$0
Charged - Amount Rate: % Base: \$ Not Charged: Funds-Out: Not charged by Other Agency Estimate by other Agency Amount \$				
(k) Total (EPA Share 100.00 %) (Other Agency Share 0.00 %)	\$205,000	\$0	\$0	\$205,000
32. How was the IDC Base calculated?				
33. Is equipment authorized to be furnished by EPA or leased, purchased, or rented with EPA funds? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (Identify all equipment costing \$1,000 or more)N/A				
34. Are any of these funds being used on Procure/Assistance agreements? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Type of Procure/Assistance Agreement Cooperative Agreement				
Contractor/Recipient Name (if known)	Total Procure/Assistance Amount Under This Project			Percent Funded by EPA (if known)
TBD	169500 Total \$ 169,500.00			100
Part III - Funding Methods and Billing Instructions				
35.	(Note: EPA Agency Location Code (ALC) - 68010727)			
<input checked="" type="checkbox"/> Disbursement Agreement	Request for repayment of actual costs must be itemized on SF 1080 and submitted to the Financial Management Office, Cincinnati, OH 45268-7002:			
<input type="checkbox"/> Repayment	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Upon Completion of Work			
<input checked="" type="checkbox"/> Advance	Only available for use by Federal agencies on working capital fund or with appropriate justification of need for this type of payment method. Unexpended funds at completion of work will be returned to EPA. Quarterly cost reports will be forwarded to the Financial Management Center, EPA, Cincinnati, OH 45268-7002.			
<input type="checkbox"/> Allocation Transfer-Out	Used to transfer obligational authority or transfer of function between Federal agencies. Must receive prior approval by the Office of Comptroller, Budget Division, Budget Formulation and Control Branch, EPA Hdqtrs. Forward appropriate reports to the Financial Reports and Analysis Branch, Financial Management Division, PM-226F, EPA, Washington, DC 20460.			
36. <input type="checkbox"/> Reimbursement Agreement <input type="checkbox"/> Repayment <input type="checkbox"/> Advance				
<input type="checkbox"/> Allocation Transfer-In				
Other Agency's Billing Address (include ALC or Station Symbol Number)			Other Agency's Billing Instructions and Frequency	

**Part IV - Acceptance Conditions**

EPA Identification Number

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37. Terms and Conditions, when included, are located at the end of the 1610-1, or as an attachment.

**Part V - Offer and Acceptance**

**Note:** A) For Fund-out actions, the agreement/amendment must be signed by the other agency official in duplicate and one original returned to the Grants and IA Management Division for Headquarters agreements or to the appropriate EPA Regional IA administration office within 3 calendar weeks after receipt or within any extension of time that may be granted by EPA. The agreement/amendment must be forwarded to the address cited in item 29 after acceptance signature.

Failure to return the properly executed document within the prescribed time may result in the withdrawal of offer by EPA. Any change to the agreement/amendment by the other agency after the document is signed by the EPA Award Official, which the Award Official determines to materially alter the agreement/amendment, shall void the agreement/amendment.

B) For Funds-In actions, the other agency will initiate the action and forward two original agreements/amendments to the appropriate EPA program office for signature. The agreements/amendments will then be forwarded to the appropriate EPA IA administration office for signature on behalf of the EPA. EPA will return one original copy after acceptance returned to the other agency after acceptance.

**EPA IA Administration Office (for administrative assistance)****EPA Program Office (for technical assistance)****38. Organization/Address**

U.S. Environmental Protection Agency  
IASSC West  
1200 Sixth Avenue, Suite 900, OMP-145  
Seattle, WA 98101

**39. Organization/Address**

US Environmental Protection Agency  
R9 - Region 9  
75 Hawthorne Street, WTR-6  
San Francisco, CA 94105

**Award Official on Behalf of the Environment Protection Agency**

40. Digital signature applied by EPA Award Official | John Schaub - GIA Specialist

Date

09/23/2013

**Authorizing Official on Behalf of the Other Agency**

41. Signature

Typed Name and Title

Dorothy A. Dupree, MBA, Acting Area Director

Date

## **Administrative Conditions**

The following terms and conditions are hereby updated:

### **1. Resolution of Disagreements**

Should disagreements arise on the interpretation of the provisions of this agreement or amendments and/or revisions thereto, that cannot be resolved at the operating level, the area(s) of disagreement shall be stated in writing by each party and presented to the other party for consideration. If agreement or interpretation is not reached within 30 days, the parties shall forward the written presentation of the disagreement to respective higher officials for appropriate resolution.

If a dispute related to funding remains unresolved for more than 30 calendar days after the parties have engaged in an escalation of the dispute, disputes will be resolved in accordance with instructions provided in the Treasury Financial Manual (TFM) Volume I, Part 2, Chapter 4700, Appendix 10, available at <http://www.fms.treas.gov/tfm/index.html>.

### **2. Cost Collection Upon Cancellation**

If the Environmental Protection Agency cancels the order, the IA recipient is authorized to collect costs incurred prior to cancellation of the order plus termination costs, up to the total payment amount provided for under the agreement.

### **3. Billing/Payment**

When submitting invoices to the Office of the Chief Financial Officer/Cincinnati Finance Center (OCFO/CFC) requesting payment, a breakdown of the costs associated with the invoice must be provided to the EPA Project Officer (EPA PO). This information allows the EPA PO to determine that costs billed to EPA are necessary and reasonable. If this information is not provided, the EPA PO will notify the OCFO/CFC to suspend or charge back the payment.

### **4. Advance Payments**

When requesting advance payments, bills should clearly be marked as advance payment requests. Quarterly cost reports should be forwarded to both the EPA Project Officer and to the Cincinnati Finance Center (CFC) to allow EPA to know both the technical progress and the financial progress of the project. Any unexpended funds at the completion of the project should be returned to EPA.

Reports may be emailed to the CFC at [marsala.jeffrey@epa.gov](mailto:marsala.jeffrey@epa.gov) or sent to the following address:

U.S. EPA CFC  
ATTN: Jeff Marsala  
26 W. ML King Dr.  
Cincinnati, OH 45268-7002

**5. IAs with Contracts or Procurement (Disadvantaged Business Enterprise -MBE/WBE Reporting)** In accordance with Public Law 102-389, EPA's policy requires, to the fullest extent possible, that at least 8% of its overall Federal funding for prime and subcontracts awarded in support of authorized programs be awarded to business concerns or other organizations owned or controlled by socially and economically disadvantaged individuals, including historically Black colleges and universities and women. Also, in accordance with CERCLA, as amended (P.L. 99-499), Section 105, any Federal agency awarding contracts, grants or cooperative agreements utilizing Superfund monies shall consider the availability of minority contractors for participation in contracts. This includes, but is not limited to: contracts, subcontracts, and any subagreements.

The IA recipient will also strive to meet EPA's SBA negotiated goals for awarding contracts to small and

disadvantaged businesses. The IA recipient will accomplish these objectives through adherence to the small and minority owned business requirements set forth in the Small Business Act , 15 U.S.C. 631 et seq. and the annual Small Business goals negotiated with the IA recipient and the Small Business Administration. All reporting on MBE/WBE and small business accomplishments will be done through the existing federal contracting reporting mechanism , currently the "Federal Procurement Data System, Next Generation (FPDS-NG)," which is available at: [https://www.fpds.gov/fpdsng\\_cms/](https://www.fpds.gov/fpdsng_cms/).

### **Programmatic Conditions**

See above.

END OF DOCUMENT